

<b>Audit &amp; Assurance - Draft Proposals 2017/18</b>	<b>Classification</b>	<b>Priority</b>	<b>2017/18 Days</b>
<b>Adult Social Care</b>			
Client case management systems - Mosaic	Risk	1	10
Personalised budgets/Direct payments	Control	2	10
Volunteering/Demand Management	Consultancy	A*	8
Commissioning/Contract Management	Control	2	10
Provision of equipment to services users	Control	2	10
<b>Sub total</b>			<b>48</b>
<b>Children's &amp; Education Services</b>			
SEND Inspection Regime	Risk	2	10
Adoptions/Fostering recruitment and payments	Control	2	10
Section 17 Payments	Risk	2	10
Audits of Schools Finance systems	Control	2	40
<b>Sub total</b>			<b>70</b>
<b>Public Health</b>			
Social Determinants of Health Fund	Governance	2	10
Partnership Arrangements	Governance	2	10
<b>Sub total</b>			<b>20</b>
<b>Localities &amp; Prevention</b>			
Energy grant	Governance	1	10
CCTV Pennine Lancs Provision	Risk	2	10
Selective Licensing	Control	A*	10
Volunteers/ Demand Management	Control	A*	8
<b>Sub total</b>			<b>38</b>
<b>Growth &amp; Development</b>		<b>Priority</b>	
LTP Grant Requirement	Control	1	5
Strategic Partnership Arrangements	Departmental Risk	2	10
Growth Deal Round 2 - East Darwen Distributor	Governance	2	10
Highways	Departmental Risk	2	15
Blakey Moor Heritage Lottery	Control	2	10
Growth Strategy	Departmental Risk	2	10
<b>Sub total</b>			<b>60</b>
<b>Environment &amp; Leisure</b>			
Public Protection Inspections	Risk	2	10
Events Management - King Georges Hall	Control	2	10
Schools & Museums Programme	Governance	A*	10
Transport Procurement/Fleet Management	Risk	2	10
<b>Sub total</b>			<b>40</b>
<b>HR, Legal &amp; Corporate Services</b>			
Payroll - Core system	Control	1	25
Police & Crime Commissioner Grant	Control	1	5
Off payroll engagement (IR35)	Control	2	10
Overtime/additional hours payments	Control	2	10
Severance Payments	Control	2	10
Health & Safety	Risk	2	5
Public Contracts Regulations 2015 - Corporate Procurement	Control	2	10
Members Allowances and Induction	Control	A*	10
<b>Sub total</b>			<b>85</b>
<b>Finance &amp; IT</b>		<b>Priority</b>	
Budgetary Setting and Control	Risk	1	10

<b>Audit &amp; Assurance - Draft Proposals 2017/18</b>	<b>Classification</b>	<b>Priority</b>	<b>2017/18 Days</b>
Main Accounting System	Control	1	10
Housing Benefits	Control	1	20
Creditors/E-Procurement Purchase cards	Control	1	15
Council Tax	Control	1	15
NNDR	Control	1	15
Income collection and management system	Control	2	10
Information Governance/Data Protection	Risk	2	5
Sundry Debtors	Control	2	10
Capital Programme/Budget - Monitoring and Reporting	Control	2	10
<b>Sub total</b>			<b>120</b>
<b>Corporate Audits</b>			
Capita Partnership - Contract management and governance	Governance	1	10
Review of Financial Regulations, SFIs, etc.	Governance	2	5
<b>Sub total</b>			<b>15</b>
<b>Other Audit Work</b>			
Follow up work	Governance	1	10
Audit Committee	Governance	1	15
Liaison with external audit	Other	1	5
Audit Committee Annual Report/Evaluation	Governance	1	5
A & A Client liaison/Queries	Other	2	20
A & A Client liaison/DMT attendance	Other	2	10
A & A Client liaison/Project Groups	Other	2	15
Audit Committee CIPFA Guidance	Governance	2	5
Contingency	Other	2	25
Auditor Panels	Governance	2	5
<b>Sub total</b>			<b>115</b>
<b>Other Risk Work</b>		<b>Priority</b>	
MAF Process/Form Review	Governance	1	5
Annual Gov Statement	Governance	1	10
MAF and MAF Challenges	Governance	1	15
Risk Management Support	Governance	1	5
Corporate Risk & Resilience Forum	Governance	1	5
Review/Monitor Corporate Risks	Governance	1	10
Review Monitor Departmental Risks	Governance	1	10
Business Continuity Champions Meetings	Governance	1	5
Risk Annual Plan/Report	Governance	2	5
<b>Sub total</b>			<b>70</b>
<b>Other Fraud Work</b>			
Review of Counter Fraud Strategy	Control	1	5
National Fraud Initiative (NFI)	Governance	1	35
Counter Fraud Annual Plan/Report	Governance	1	5
Proactive Fraud Testing	Governance	2	10
Reactive investigations	Governance	2	15
Review/Monitor Fraud Risk Register	Control	2	10
Fraud awareness and whistle blowing initiatives	Control	2	5
<b>Sub total</b>			<b>85</b>
<b>2016/17 Audit Completions</b>			
<b>Sub total</b>			<b>65</b>
<b>Total Planned Audit days</b>			<b>831</b>